



Australian Government  
Department of Health  
Therapeutic Goods Administration

# TGA Regulatory update

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Complementary medicine and advertising reform: Policy challenges, successes and failures  
PHAA Conference Cairns 26 September 2018



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## TGA's role - Administering the laws and regulations set by Parliament and Government



...TGA does not determine the laws.  
We administer the laws



# Complementary medicines regulatory reforms



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## Reforms Implemented already

- list of **permitted indications** for listed medicines
- **'Assessed listed'** pre-market evaluation pathway
- application categories, fees and timeframes for pre-market assessments
- **2 years market exclusivity** for new ingredients

## Reforms coming soon

- Use of **comparable overseas regulator reports**
- **Efficacy assessment** 'claimer'



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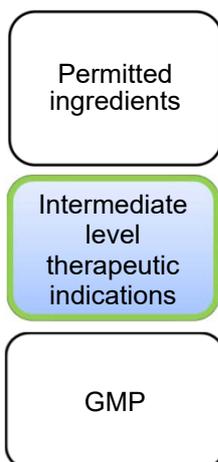
## Permitted Indications

- From Mar 2018, sponsors listing a medicine can only use indications from a **permitted indications list**
- **List is controversial** - over 90 % of the indications proposed by industry were not accepted by TGA
  - e.g. for glucose and cholesterol reduction
- Sponsors are **required to hold supporting evidence of efficacy** for their medicine’s indications
- There must not be inconsistency between the indications that are listed in the ARTG and on the label
- **3 year** transition period for existing listed medicines



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## New assessed Listed Medicine pathway



- To **increase consumer confidence** in these products
- **1,800 new listed medicines in last 12 months alone** so not feasible to assess all prior to marketing
- TGA pre-market assessment of **scientific evidence** supporting efficacy of the indications in finished product
- **Safety** (of permitted ingredients) and **quality** (pre-approved GMP) of their medicine required
- **Allows higher-level claims** which are not included on the permitted indications list
- **Option** to use an efficacy ‘claimer’ statement

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## Examples of indications

Low level indications AUST L	Intermediate level indications AUST L(A)
<ul style="list-style-type: none"> <li>• Helps enhance exercise performance and stamina</li> <li>• Helps maintain blood levels of Vitamin D</li> <li>• Aids/assists healthy red blood cell production</li> <li>• Relieves abdominal bloating and distention</li> <li>• <u>Traditionally used</u> in Chinese medicine to disseminate Lung Qi</li> <li>• <u>Traditionally used</u> in Western herbal medicine to improve digestion</li> </ul>	<ul style="list-style-type: none"> <li>• Prevents muscular cramps and spasms</li> <li>• Prevents cold sores</li> <li>• Reduces symptoms of tinnitus</li> <li>• Alleviates mild dermatitis</li> <li>• Relieves rheumatoid arthritis symptoms, such as inflammation and pain</li> <li>• Relieves symptoms of gastroesophageal reflux disease</li> </ul>

## Complementary medicines compliance



TGA Annual Performance Statistics report due out end of this month

## Complementary medicines compliance

### Past reviews

- [Oral probiotics indicated for vaginal conditions](#)
- [Listed medicines with blood glucose and cholesterol indications](#)
- [Listed medicines that are required to demonstrate the absence of Aristolochic acids](#)
- [Folate and folic acid for use in listed medicines](#)

### Current reviews

- [Listed medicines referencing macular degeneration](#)
- [Listed medicines with traditional use indications](#)
- [Vitamin 'gummy' products](#)



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## Biomarker review

- **89 listed medicines** with indications relating to the management /control/ maintenance; and/or balance of normal/healthy/improved **blood glucose** and **cholesterol levels in healthy individuals** were selected for review
  - The 15 % of these products with the highest likelihood of non-compliance
- **All sponsors were required to amend their product listings as their evidence was insufficient**
  - 58 medicines rectified deficiencies but 31 were cancelled
- Since March 2018 indications referring to maintenance/ management/ control of biomarker levels are **no longer permitted** for AUST (L) medicines

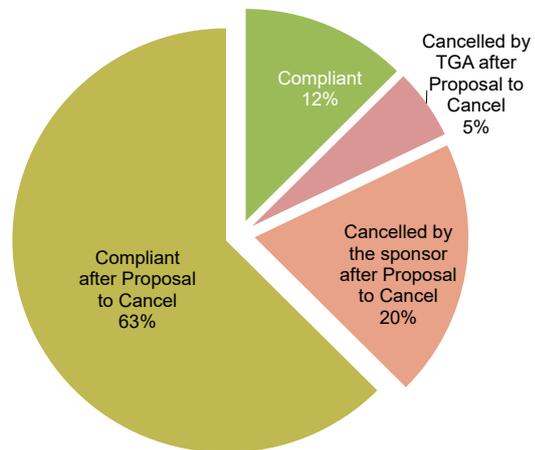


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## Random and targeted reviews 2017/18

75 % of 171 products assessed as non-compliant on one or more of indications, labelling, advertising

***TGA reviews do bring most products back into compliance***



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## Enhancements to the compliance monitoring program being implemented

- ✓ Greater targeting of sponsors with a significant **history of non-compliance**
- ✓ Enforcing sanctions and penalties for **repeat non-compliance**
- ✓ Increase **transparency** for consumers through publication of review outcomes
- ✓ **Improved guidance and training** for sponsors about their regulatory obligations



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## Advertising reforms



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## Advertising of therapeutic goods

1. Advertising of therapeutic goods to the public to continue to be regulated by TGA through a Code
2. Abolish mandatory pre-approvals of advertising (fully by July 2020)
3. Requirements for advertising to the public to be made consistent for all medicines and medical devices
4. Advertising to the public **continues to be prohibited** for prescription medicines
5. TGA became the **single body** responsible for handling direct-to-consumer **advertising complaints** from 1 July 2018
6. **Investigation and enforcement powers** broadened
7. **Sponsor education programs** to assist in compliance

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## A new, more detailed Code commences Jan 2019 because:

- Introduction of tiered offences and strict liability offences/infringement notices meant that requirements should not be interpreted subjectively
- Ensuring comparable coverage for medicines, devices and other therapeutic goods, and
- Removal of pre-approvals after June 2020 takes away intermediate checks

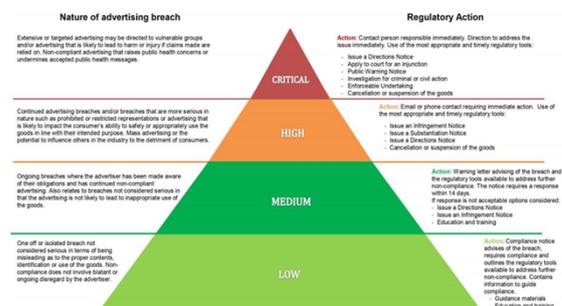
## Some requirements now more clearly stated:

- What 'prominently displayed or communicated' means
- Advertisements for traditional medicines **must identify the traditional aspect and paradigm**
- New mandatory statements apply where advertising allows for the purchase of **products that are not physically available for consumer examination** before purchase (e.g. internet sales)
- **Comparisons** in adverts must not claim or imply that comparators are harmful or ineffective
- Advertising **must not include offer of a sample** (except sunscreens and condoms)
- **Scientific representations** (cited research studies and scientific claims), **endorsements and testimonials** carry specific disclosure requirements
- Clarification of requirements for advertising **directed primarily to children**

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## Categorisation of advertising complaints

- Formalised following public consultation
- Complaints classified as **low, medium, high or critical**, taking into consideration:
  - whether the claims made or reliance on the claims made in the advertisement is **likely to cause harm to public health**
  - likely impact of the advertising on the ability of consumers to **safely** and appropriately use the goods for their intended purpose
  - frequency and likely impact of the non-compliant advertising and its influence on other advertisers
  - the **advertisers' awareness** of their obligations



*TGA determines the classification not the complainant !*

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## What do we do next ?

### Low risk matters

- **Obligations Notice** sent with information on the complaint and reminding the advertiser of their obligations
- **An internal record is created** for consideration in any future complaints
- Since this is **not a regulatory action in law**, for procedural fairness the published outcome needs to exclude the advertiser and product details

### Medium, high and critical cases

- **Complaint outcomes** will only be published for matters which have been finalised and closed
- **Individually investigated** - and in the case of a breach - options include a Directions Notice or Infringement Notice, the negotiation of an Enforceable Undertaking, or Civil or Criminal proceedings
- The outcome of the complaint and related notices are published
- The first Directions Notice was issued in relation to a critical complaint on 7 September 2018
- **Establishment of an advertising advisory committee** – oversight of Code, monitor KPIs and currency
- **Guidance updated to reflect 2018 Code** - currently out for public consultation

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## Recent TGA compliance actions - public health priorities

- Unlicensed Korean nurses apprehended at airport - prevented import of products for use in dozens of **illegal cosmetic surgeries**
- Large commercial mail order scheme selling **illegal prescription and banned drugs to bodybuilders** closed down
- **Defective operating theatre equipment** used to monitor dangerously ill babies removed
- **Contaminated medical devices** linked to several deaths removed from hospitals
- **Fake herbal medicines** with illegal components known to cause heart attacks identified, public warned and products removed
- **Serious adverse events** for certain prescription medicines identified and communicated to prescribers
- Regulatory reforms to reduce the over 500 deaths a year in Australia due to **prescription opioids**



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